

## EVIDENCE READINESS FILE

# AI Governance File

A structured position file for AI risk exposure, evidence shortfalls, and remediation priorities before board, insurer, or external governance review.

## ASSESSMENT POSITION

Scores reflect declared tooling, mapped controls, current evidence, and open governance gaps at the assessment date.

## REMEDIATION BASIS

The output becomes a 90-day plan inside the Tools, Vendor Evidence Register, and AI Governance File loop.

## EXECUTIVE POSITION

## Developing posture

4 critical gaps identified across 3 registered AI systems.

## PRACTICE

**Sandstone Imaging Group**

## ASSESSMENT DATE

**8 May 2026**

## PREPARED BY

**Moirai Governance**

## LEAD REVIEWER

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## REPORT ID

GAP-ANALYSIS-SAMPLE-20260508

## DOCUMENT FINGERPRINT

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## PUBLIC VERIFICATION

**moirai.health/verify**

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## EVIDENCE POSITION

Inventory, controls, vendor files, risk score, declared gaps.

## COMMERCIAL PURPOSE

Moves discovery into a 90-day close-out plan and SaaS loop.

## MOIRAI GOVERNANCE

## Assessment file

Point-in-time review. Maintain files in product.

## Executive Summary

This content was generated by AI and is provided for informational purposes only. It does not constitute legal, medical, or regulatory advice. Independent professional review is recommended before adoption.

**3**

AI Tools

**58**

Governance Score

**4**

Critical Gaps

**HIGH**

Risk Level

This sample AI Governance File reviews Sandstone Imaging Group's declared AI governance position across three diagnostic imaging AI tools. The practice has started centralising vendor evidence, but ownership, review cadence, regulatory position, and incident escalation evidence remain incomplete.

The current governance score is 58/100, which indicates a developing but not yet review-ready posture. The highest-risk findings are unresolved ARTG/TGA position for one evaluated tool, missing AI governance policy evidence, expired training records, and no explicit AI-specific incident escalation pathway.

The file should be treated as a baseline position record, not a certification or guarantee of compliance. Missing, expired, or unknown evidence is scored as a finding because the assessment only credits evidence the practice can produce.

## AI Tool Inventory

3 AI tools registered and assessed for governance compliance.

Tool Name	Vendor	TGA Class	Risk	Modality	Status
Annalise CXR	Annalise.ai	ARTG evidence supplied by vendor	medium	X-ray	active
Aidoc PE Detection	Aidoc	Sponsor status under review	high	CT	trial
Lunit INSIGHT MMG	Lunit	Not yet established	medium	Mammography	evaluating

## Risk Assessment Results

Tool	Risk Score	Classification
Annalise CXR	62/100	medium
Aidoc PE Detection	74/100	high

## RANZCR Chapter 9 Compliance Matrix

Overall compliance: 0% (0 of 9 requirements met). 5 gaps identified, 4 in progress.

### 1. AI tool inventory | 0% compliant

Ë	All deployed or evaluated AI tools are listed	in-progress
	Each tool has intended use and deployment scope recorded	gap
Ë	Each tool has an accountable governance owner	in-progress

### 2. Evidence and regulatory position | 0% compliant

Ë	Vendor intended-use documentation is available	in-progress
	ARTG/TGA position is recorded or explicitly unresolved	gap
	Clinical limitations are visible to relevant staff	gap

### 3. Monitoring and incident pathway | 0% compliant

	Each tool has a review cadence	gap
Ë	AI-related incident escalation pathway exists	in-progress
	Board or governance committee receives exception reporting	gap

## Governance Score

58

Overall Score | Developing

**NOT READY**

Review Readiness

## Domain Breakdown

Domain	Score
AI tool inventory	12/20 (60%)
Regulatory status clarity	8/20 (40%)
Governance ownership	13/20 (65%)
Evidence readiness	10/20 (50%)
Monitoring and incident pathway	15/20 (75%)

## Evidence Gaps

4 evidence documents assessed. 1 current, 2 missing, 1 expired.

Document	Type	Status	Expiry
Annalise CXR intended-use pack	Vendor documentation	current	8 May 2027
Clinical AI governance policy	Policy	missing	—
AI training completion export	Training record	expired	14 January 2026
Incident escalation pathway	Incident process	missing	—

## Recommendations

The following prioritised action plan addresses identified governance gaps across three timeframes.

### IMMEDIATE (30 days)

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- Assign a named governance owner for each AI tool and record intended use, site, clinical workflow, and review cadence.
- Locate vendor IFU, regulatory/sponsor status, and limitation documents for every live or evaluated AI tool.
- Confirm the incident pathway for AI disagreement, suspected failure, and corrective-action escalation.

### SHORT-TERM (90 days)

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- Approve an AI governance policy or clinical governance policy addendum that covers owner, approval pathway, risk review, training, incident handling, and review cadence.
- Re-run training records for staff using AI-assisted workflows and record role-based competency evidence.
- Build the tool records with evidence links, open gaps, review dates, and board-visible exceptions.

### ONGOING

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- Review each AI tool at its agreed cadence and update evidence when vendors change versions, IFUs, limitations, or regulatory status.
- Generate an AI Governance File for board or insurer review each quarter, or sooner if a material incident or tool change occurs.
- Keep unknown or missing evidence visible until closed rather than hiding it in email, procurement folders, or committee minutes.

## About Moirai

### **Moirai Governance**

Moirai Governance is a self-serve evidence system for healthcare practices to continuously monitor, document, and prove their AI governance posture. It tracks controls against RANZCR Chapter 9 guidance, maintains a tamper-evident evidence trail of AI-assisted clinical decisions, and generates review-ready documentation for regulators, insurers, and boards.

### **Methodology**

This report assesses your practice across five governance domains. AI Influence Identification, Human Accountability, Clinical Context Preservation, Reasoning Documentation, and Integrity Assurance. Scoring is mapped to RANZCR Chapter 9 expectations and TGA Software as a Medical Device guidance.

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Your Moirai dashboard is live at [moirai.health](https://moirai.health). Log in to view your governance status in real time.

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